

Camouflage d'obstacles dans des guides d'ondes acoustiques au moyen de ligaments fins résonants

Lucas Chesnel

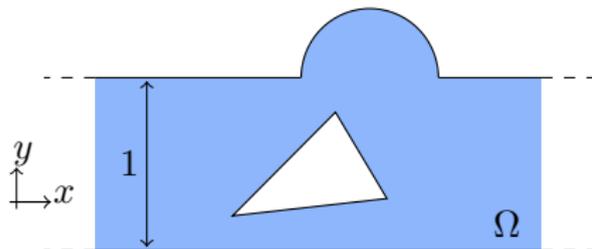
Coll. with J. Heleine¹, S.A. Nazarov².

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²FMM, St. Petersburg State University, Russia



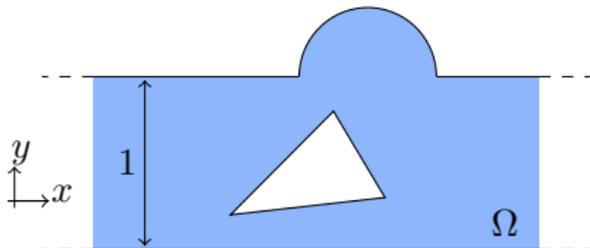
- ▶ We consider the **propagation of waves** in a 2D **acoustic** waveguide with an obstacle (also relevant in optics, microwaves, water-waves theory,...).



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- ▶ We fix $k \in (0; \pi)$ so that only the plane waves $e^{\pm ikx}$ can propagate.
- ▶ The scattering of these waves leads us to consider the solutions of (\mathcal{P}) with the decomposition

$$u_+ = \left| \begin{array}{l} e^{ikx} + R_+ e^{-ikx} + \dots \\ T e^{+ikx} + \dots \end{array} \right. \quad u_- = \left| \begin{array}{l} T e^{-ikx} + \dots \\ e^{-ikx} + R_- e^{+ikx} + \dots \end{array} \right. \quad \begin{array}{l} x \rightarrow -\infty \\ x \rightarrow +\infty \end{array}$$

$R_{\pm}, T \in \mathbb{C}$ are the **scattering coefficients**, the ... are expon. decaying terms.

- ▶ We have the relations of **conservation of energy** $|R_{\pm}|^2 + |T|^2 = 1$.
- Without obstacle, $u_+ = e^{ikx}$ so that $(R_+, T) = (0, 1)$.
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Goal of the talk

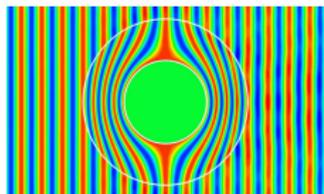
We wish to slightly **perturb the walls** of the guide to obtain $R_{\pm} = 0, T = 1$ in the new geometry (as if there were no obstacle) \Rightarrow **cloaking at “infinity”**.



Difficulty: the scattering coefficients have a **non explicit** and **non linear** dependence wrt the geometry.



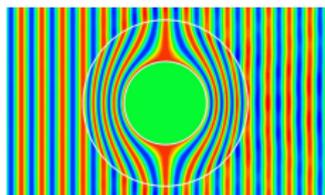
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Remark 1: **Different** from the **usual cloaking** picture (Pendry *et al.* 06, Leonhardt 06, Greenleaf *et al.* 09).
→ Less ambitious but doable without fancy materials (and relevant in practice).

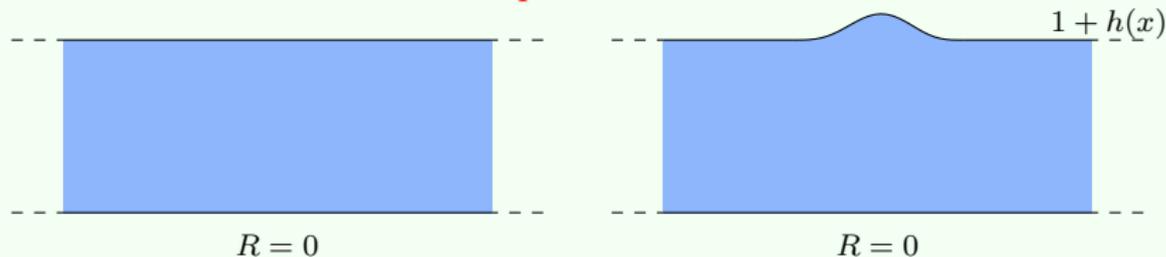


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 → Less ambitious but doable without fancy materials (and relevant in practice).

Remark 2: **Different** from the **perturbative techniques** we have used in the past based on variants of the **implicit functions theorem**.



Here the (big) obstacle is given, we want to **compensate** its scattering.

Outline of the talk

- 1 Asymptotic analysis in presence of thin resonators
- 2 Almost zero reflection
- 3 Cloaking
- 4 Mode converter

1 Asymptotic analysis in presence of thin resonators

2 Almost zero reflection

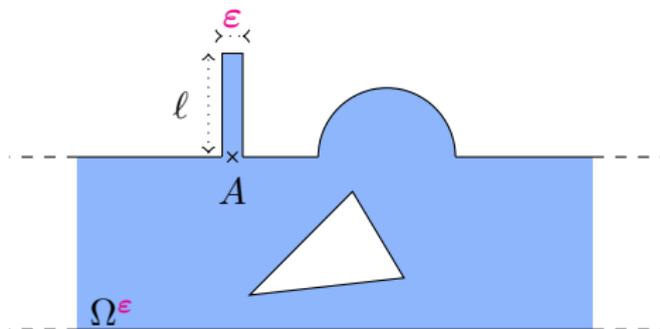
3 Cloaking

4 Mode converter

Setting



Main ingredient of our approach: **outer resonators** of width $\epsilon \ll 1$.



$$(\mathcal{P}^\epsilon) \quad \begin{cases} \Delta u + k^2 u = 0 & \text{in } \Omega^\epsilon, \\ \partial_n u = 0 & \text{on } \partial\Omega^\epsilon \end{cases}$$

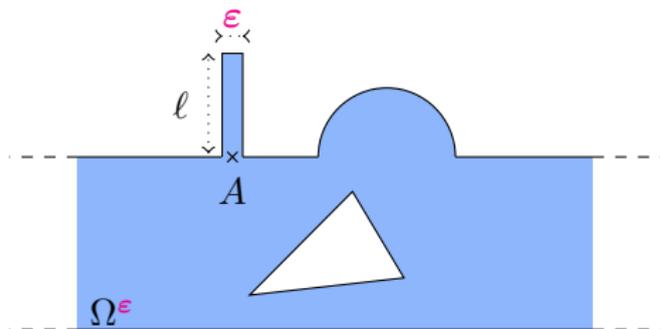
► In this geometry, we have the scattering solutions

$$u_+^\epsilon = \begin{cases} e^{ikx} + R_+^\epsilon e^{-ikx} + \dots \\ T^\epsilon e^{+ikx} + \dots \end{cases} \quad u_-^\epsilon = \begin{cases} T^\epsilon e^{-ikx} + \dots & x \rightarrow -\infty \\ e^{-ikx} + R_-^\epsilon e^{+ikx} + \dots & x \rightarrow +\infty \end{cases}$$

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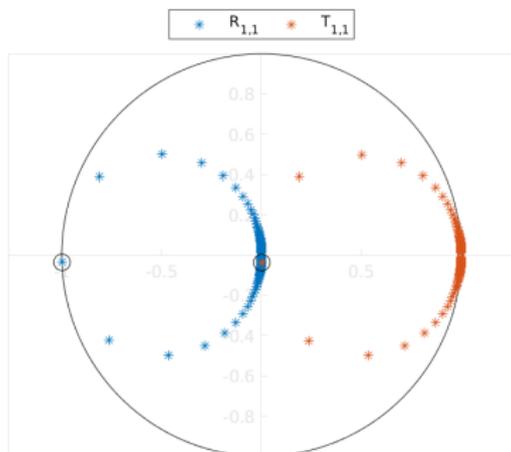
In general, the thin ligament has only a **weak influence** on the scattering coefficients: $R_\pm^\varepsilon \approx R_\pm$, $T^\varepsilon \approx T$. But **not always** ...

Numerical experiment

- ▶ We vary the length of the ligament:

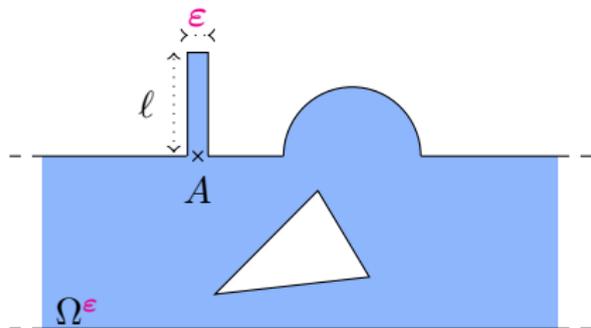
Numerical experiment

- ▶ For one particular length of the ligament, we get a **standing mode** (zero transmission):



Asymptotic analysis

To understand the phenomenon, we compute an **asymptotic expansion** of u_+^ε , R_+^ε , T^ε as $\varepsilon \rightarrow 0$.



$$(\mathcal{P}^\varepsilon) \left| \begin{array}{l} \Delta u_+^\varepsilon + k^2 u_+^\varepsilon = 0 \quad \text{in } \Omega^\varepsilon, \\ \partial_n u_+^\varepsilon = 0 \quad \text{on } \partial\Omega^\varepsilon \end{array} \right.$$

$$u_+^\varepsilon = \left| \begin{array}{l} e^{ikx} + R_+^\varepsilon e^{-ikx} + \dots \\ T^\varepsilon e^{+ikx} + \dots \end{array} \right.$$

► To proceed we use techniques of **matched asymptotic expansions** (see Beale 73, Gadyl'shin 93, Kozlov et al. 94, Nazarov 96, Maz'ya et al. 00, Joly & Tordeux 06, Lin & Zhang 17, 18, ...).

Asymptotic analysis

- ▶ We work with the **outer expansions**

$$u_+^\varepsilon(x, y) = u^0(x, y) + \dots \quad \text{in } \Omega,$$

$$u_+^\varepsilon(x, y) = \varepsilon^{-1}v^{-1}(y) + v^0(y) + \dots \quad \text{in the resonator.}$$

- ▶ Considering the restriction of $(\mathcal{P}^\varepsilon)$ to the thin resonator, when ε tends to zero, we find that v^{-1} must solve the homogeneous **1D** problem

$$(\mathcal{P}_{1D}) \left| \begin{array}{l} \partial_y^2 v + k^2 v = 0 \quad \text{in } (1; 1 + \ell) \\ v(1) = \partial_y v(1 + \ell) = 0. \end{array} \right.$$

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The features of (\mathcal{P}_{1D}) play a key role in the **physical phenomena** and in the **asymptotic analysis**.

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The features of (\mathcal{P}_{1D}) play a key role in the **physical phenomena** and in the **asymptotic analysis**.

- ▶ We denote by ℓ_{res} (**resonance lengths**) the values of ℓ , given by

$$\ell_{\text{res}} := \pi(m + 1/2)/k, \quad m \in \mathbb{N},$$

such that (\mathcal{P}_{1D}) admits the **non zero** solution $v(y) = \sin(k(y - 1))$.

Asymptotic analysis – Non resonant case

- Assume that $\ell \neq \ell_{\text{res}}$. Then we find $v^{-1} = 0$ and when $\varepsilon \rightarrow 0$, we get

$$u_{\pm}^{\varepsilon}(x, y) = u_{\pm} + o(1) \quad \text{in } \Omega,$$

$$u_{\pm}^{\varepsilon}(x, y) = u_{\pm}(A) v_0(y) + o(1) \quad \text{in the resonator,}$$

$$R_{\pm}^{\varepsilon} = R_{\pm} + o(1), \quad T^{\varepsilon} = T + o(1).$$

Here $v_0(y) = \cos(k(y-1)) + \tan(k(y-\ell)) \sin(k(y-1))$.

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The thin resonator **has no influence at order ε^0** .

→ **Not interesting for our purpose** because we want $\left| \begin{array}{l} R_{\pm}^{\varepsilon} = 0 + \dots \\ T^{\varepsilon} = 1 + \dots \end{array} \right.$

Asymptotic analysis – Resonant case

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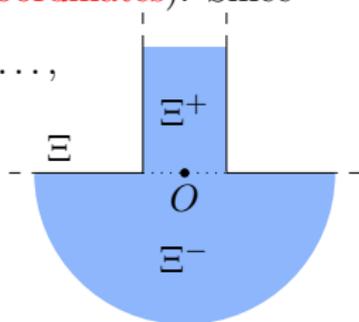
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► **Inner expansion.** Set $\xi = \varepsilon^{-1}(x - A)$ (**stretched coordinates**). Since

$$(\Delta_x + k^2)u_+^\varepsilon(\varepsilon^{-1}(x - A)) = \varepsilon^{-2}\Delta_\xi u^\varepsilon(\xi) + \dots,$$

when $\varepsilon \rightarrow 0$, we are led to study the problem

$$(\star) \quad \left\{ \begin{array}{ll} -\Delta_\xi Y = 0 & \text{in } \Xi \\ \partial_\nu Y = 0 & \text{on } \partial\Xi. \end{array} \right.$$



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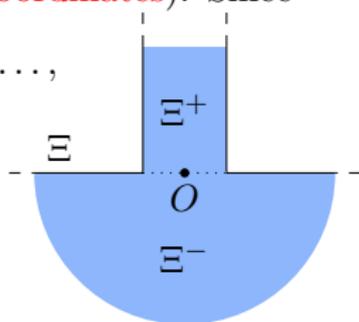
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$$Y^1(\xi) = \begin{cases} \xi_y + C_\Xi + O(e^{-\pi\xi_y}) & \text{as } \xi_y \rightarrow +\infty, \quad \xi \in \Xi^+ \\ \frac{1}{\pi} \ln \frac{1}{|\xi|} + O\left(\frac{1}{|\xi|}\right) & \text{as } |\xi| \rightarrow +\infty, \quad \xi \in \Xi^-. \end{cases}$$

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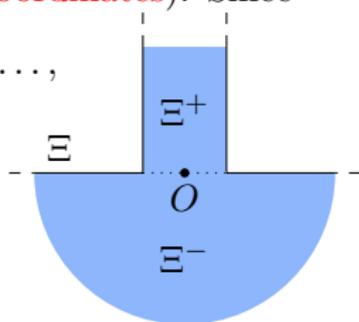
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► In a neighbourhood of A , we look for u_+^ε of the form

$$u_+^\varepsilon(x) = C^A Y^1(\xi) + c^A + \dots \quad (c^A, C^A \text{ constants to determine}).$$

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► In the ansatz $u_+^\varepsilon = u^0 + \dots$ in Ω , we deduce that we must take

$$u^0 = u_+ + ak\gamma$$

where γ is the outgoing **Green function** such that $\left. \begin{array}{l} \Delta\gamma + k^2\gamma = 0 \text{ in } \Omega \\ \partial_n\gamma = \delta_A \text{ on } \partial\Omega. \end{array} \right\}$

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- ▶ Matching the **constant** behaviour in the resonator, we obtain

$$v^0(1) = u_+(A) + ak(\Gamma + \pi^{-1} \ln |\varepsilon| + C_\Xi).$$

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- ▶ This is a Fredholm problem with a non zero **kernel**. A solution exists iff the **compatibility condition** is satisfied. This sets

$$ak = -\frac{u_+(A)}{\Gamma + \pi^{-1} \ln |\varepsilon| + C_\Xi}$$

and **ends the calculus of the first terms.**

Asymptotic analysis – Resonant case

► Finally for $\ell = \ell_{\text{res}}$, when $\varepsilon \rightarrow 0$, we obtain

$$u_+^\varepsilon(x, y) = u_+(x, y) + ak\gamma(x, y) + o(1) \quad \text{in } \Omega,$$

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This time the thin resonator **has an influence at order ε^0**

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► Similarly for $\ell = \ell_{\text{res}} + \varepsilon\eta$ with $\eta \in \mathbb{R}$ fixed, by modifying only the last step with the compatibility relation, when $\varepsilon \rightarrow 0$, we obtain

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$$R_+^\varepsilon = R_+ + ia(\eta)u_+(A)/2 + o(1), \quad T^\varepsilon = T + ia(\eta)u_-(A)/2 + o(1).$$

Here γ is the outgoing **Green function** such that $\left. \begin{array}{l} \Delta\gamma + k^2\gamma = 0 \text{ in } \Omega \\ \partial_n\gamma = \delta_A \text{ on } \partial\Omega \end{array} \right\}$ and

$$a(\eta)k = -\frac{u_+(A)}{\Gamma + \pi^{-1}\ln|\varepsilon| + C_\Xi + \eta}.$$

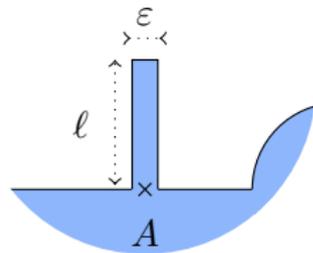
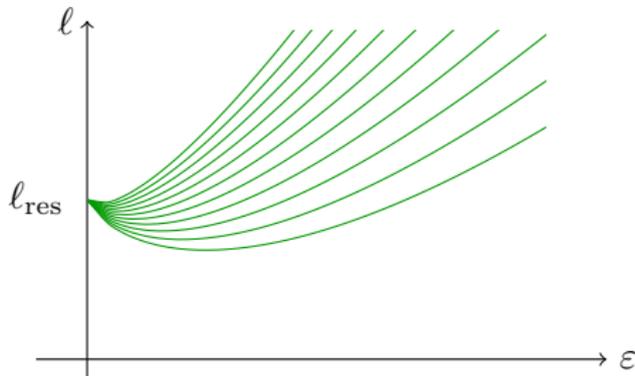


This time the thin resonator **has an influence at order ε^0** and it depends on the choice of η !

Asymptotic analysis – Resonant case

- Below, for several $\eta \in \mathbb{R}$, we display the paths

$$\{(\varepsilon, l_{\text{res}} + \varepsilon(\eta - \pi^{-1}|\ln \varepsilon|)), \varepsilon > 0\} \subset \mathbb{R}^2.$$

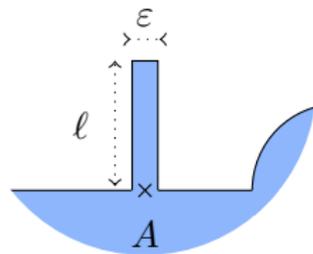
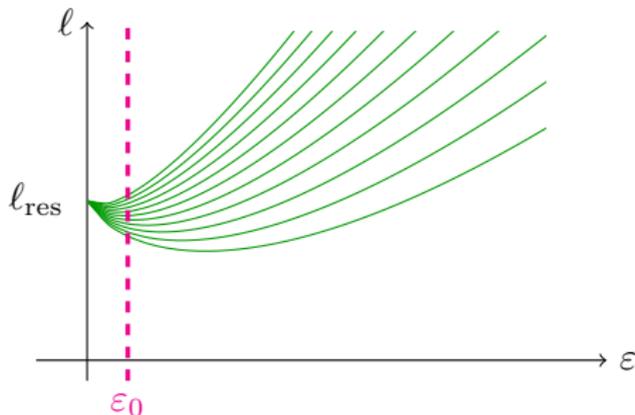


According to η , the limit of the scattering coefficients along the path as $\varepsilon \rightarrow 0^+$ is **different**.

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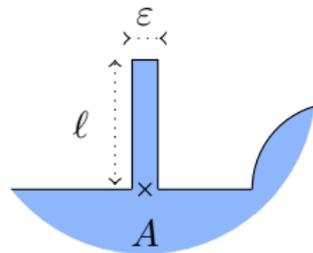
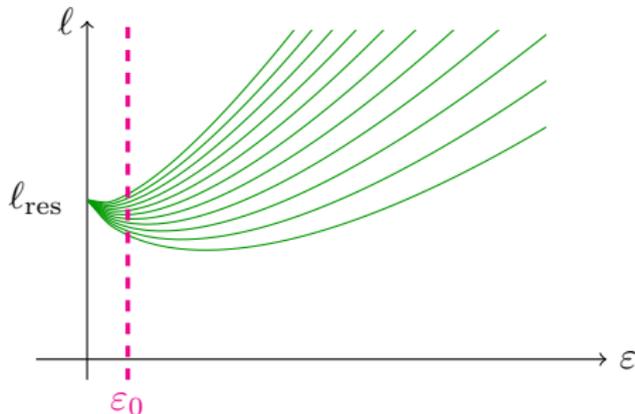
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- For a **fixed small** ε_0 , the scattering coefficients have a **rapid variation** for l varying in a neighbourhood of the resonance length.
→ **This is exactly what we observed in the numerics.**

1 Asymptotic analysis in presence of thin resonators

2 Almost zero reflection

Varying the length of the ligament **around the resonant lengths**, we can get a **rapid** and **large variation** of the scattering coefficients.

→ How to use that to get **zero reflection**?

3 Cloaking

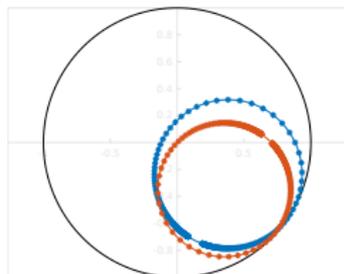
4 Mode converter

Almost zero reflection

- We have found $R_+^\varepsilon = R_+^0(\eta) + o(1)$, $T^\varepsilon = T^0(\eta) + o(1)$ with

$$R_+^0(\eta) = R_+ + \frac{(2ik)^{-1} u_+(A)^2}{\Gamma + \pi^{-1} \ln |\varepsilon| + C_\Xi + \eta}, \quad T^0(\eta) = T + \frac{(2ik)^{-1} u_+(A)u_-(A)}{\Gamma + \pi^{-1} \ln |\varepsilon| + C_\Xi + \eta}.$$

- Results on Möbius transform ($z \mapsto \frac{az+b}{cz+d}$) guarantee that $\{R_+^0(\eta) \mid \eta \in \mathbb{R}\}$, $\{T^0(\eta) \mid \eta \in \mathbb{R}\}$ are **circles** in \mathbb{C} .

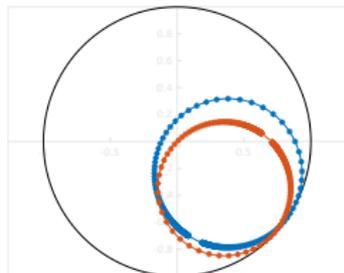


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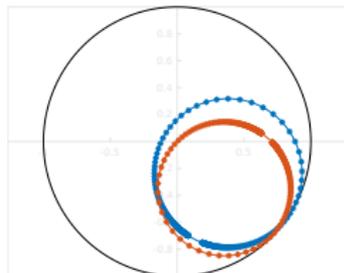
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Asymptotically, when the length of the resonator is perturbed **around the resonance length**, R_+^ε , T^ε run on circles.

- Interestingly, the features of the circles depend on the **position A of the ligament**.

Almost zero reflection

Almost zero reflection

- ▶ Using the expansions of $u_{\pm}(A)$ far from the obstacle, one shows:

PROPOSITION: There are **positions of the resonator A** such that the circle $\{R_+^0(\eta) \mid \eta \in \mathbb{R}\}$ passes **through zero**.

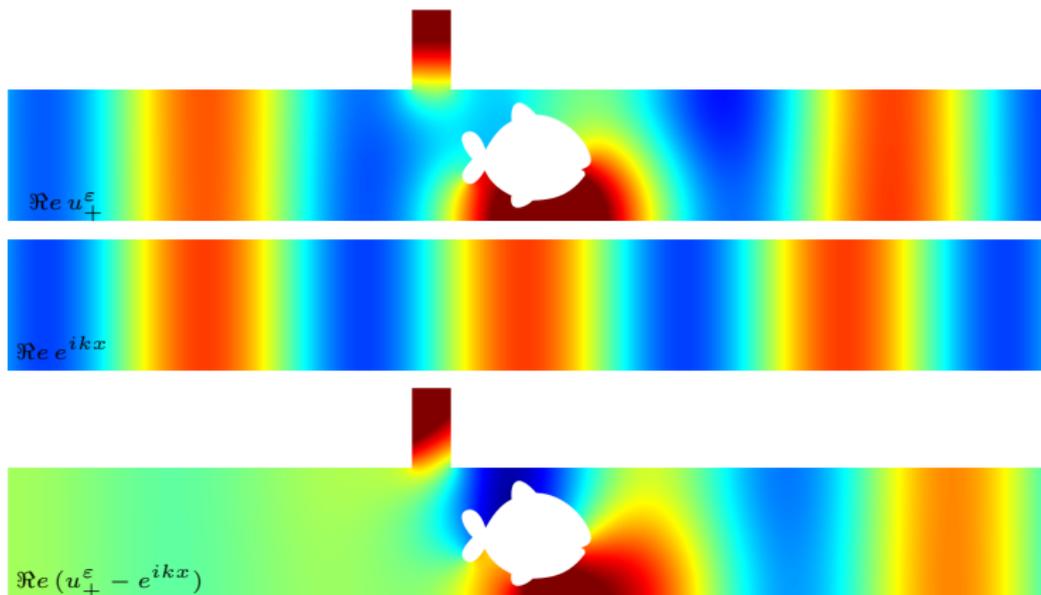
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PROPOSITION: There are **positions of the resonator** A such that the circle $\{R_+^0(\eta) \mid \eta \in \mathbb{R}\}$ passes **through zero**. $\Rightarrow \exists$ situations s.t. $R_+^\varepsilon = 0 + o(1)$.

Almost zero reflection

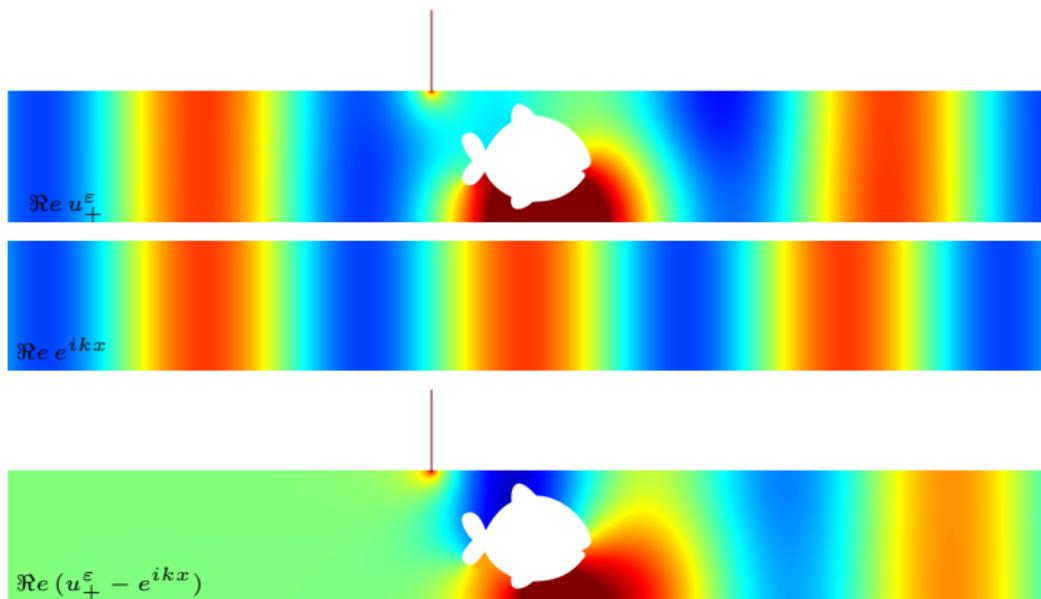
- ▶ Example of situation where we have almost zero reflection ($\varepsilon = 0.3$).



Simulations realized with the Freefem++ library.

Almost zero reflection

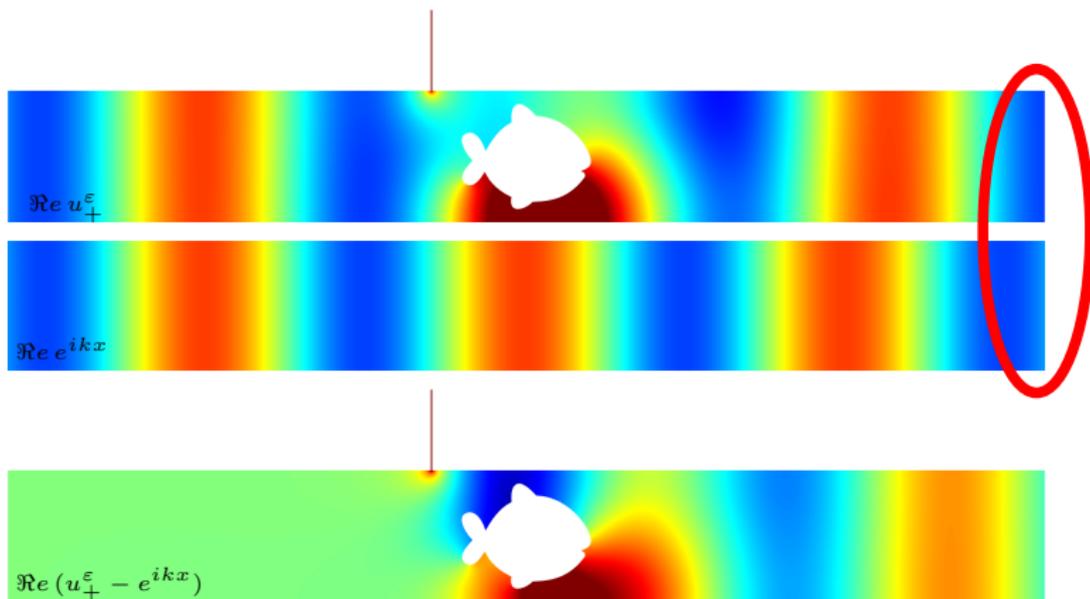
- ▶ Example of situation where we have almost zero reflection ($\varepsilon = 0.01$).



Simulations realized with the Freefem++ library.

Almost zero reflection

- Example of situation where we have **almost zero reflection** ($\varepsilon = 0.01$).



*Simulations realized with the **Freefem++** library.*

Conservation of energy guarantees that when $R_+^\varepsilon = 0$, $|T^\varepsilon| = 1$.
→ To cloak the object, it remains to compensate the **phase shift!**

1 Asymptotic analysis in presence of thin resonators

2 Almost zero reflection

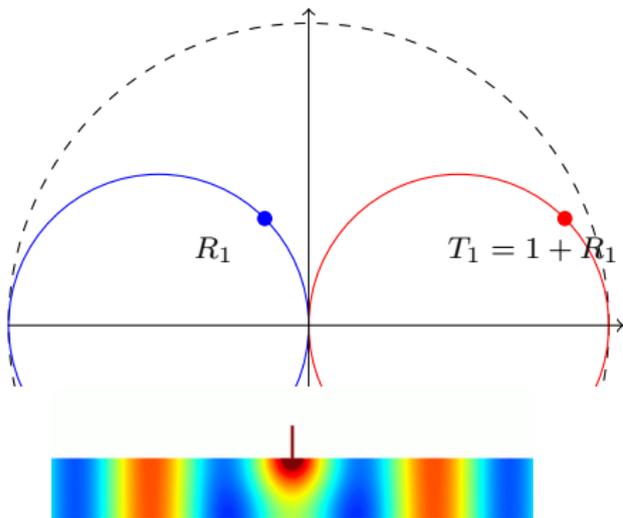
3 Cloaking

4 Mode converter

Phase shifter

► Working with **two resonators**, we can create **phase shifters**, that is devices with **almost zero reflection** and any **desired phase**.

SCHEME OF THE METHOD:

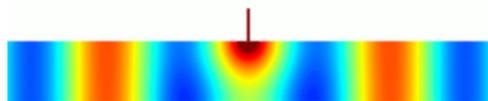
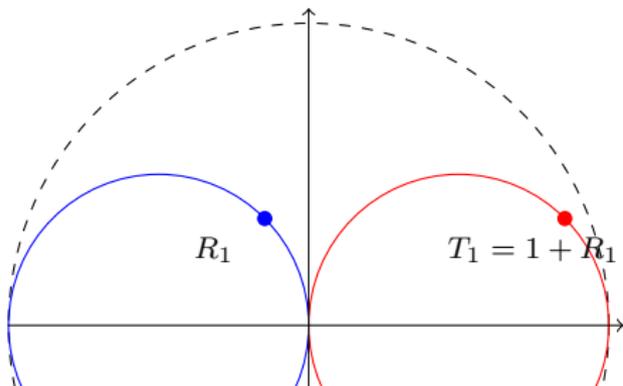


Step 1: with one ligament, we get some R_1 , T_1 as above.

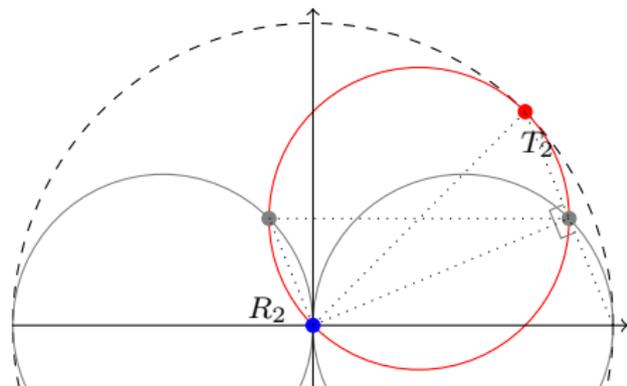
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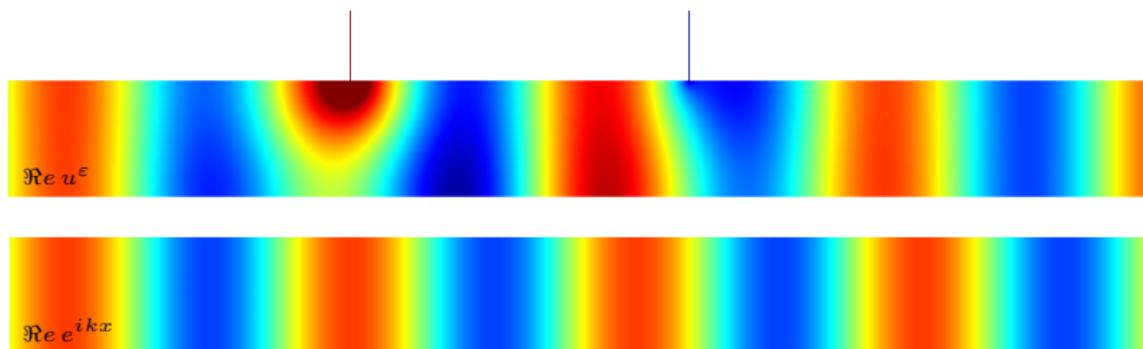
Step 1: with one ligament, we get some R_1 , T_1 as above.



Step 2: adding a second ligament, we can get R_2 , T_2 as above.

Phase shifter

- ▶ Working with **two resonators**, we can create **phase shifters**, that is devices with **almost zero reflection** and any **desired phase**.



- ▶ Here the device is designed to obtain a **phase shift** approx. equal to $\pi/4$.

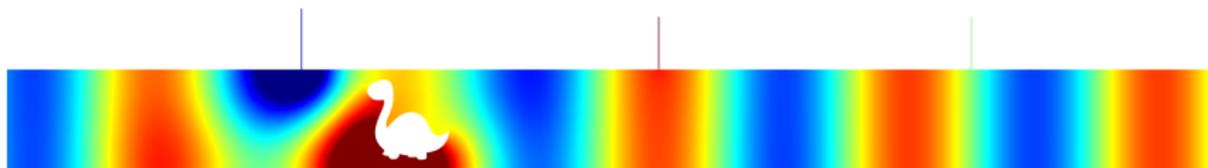
Cloaking with three resonators

► Now working in two steps, we can approximately cloak any object with **three resonators**:

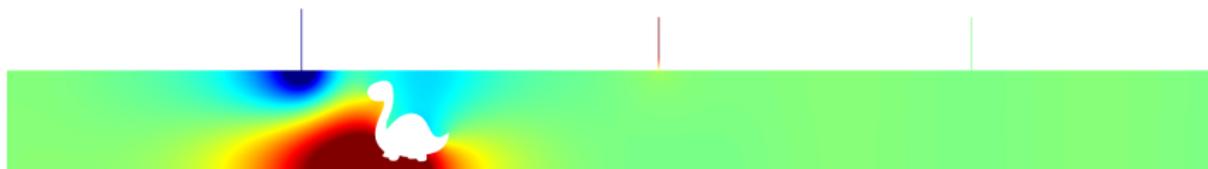
- 1) With one resonant ligament, first we get almost zero reflection;
- 2) With two additional resonant ligaments, we compensate the phase shift.



$\Re u_+$



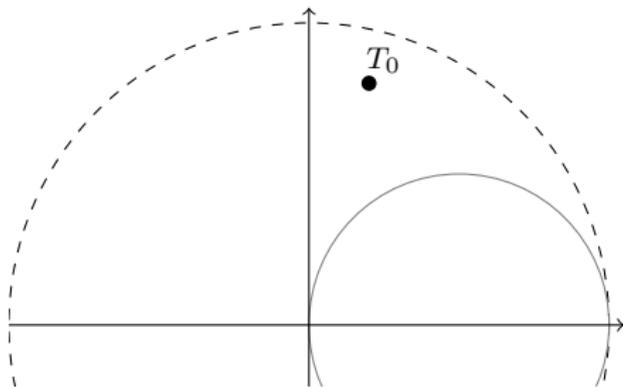
$\Re u_+^\varepsilon$



$\Re (u_+^\varepsilon - e^{ikx})$

Cloaking with two resonators

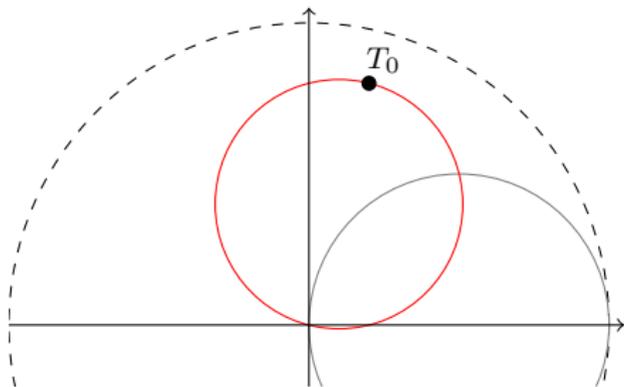
- ▶ Working a bit more, one can show that **two resonators** are enough to cloak any object.



Step 1: add one ligament so that the corresponding transmission circle, which passes through zero and T_0 , crosses $\mathcal{C}(1/2, 1/2) \setminus \{0\}$.

Cloaking with two resonators

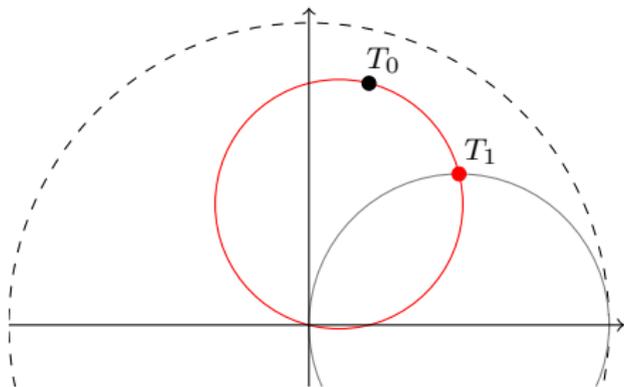
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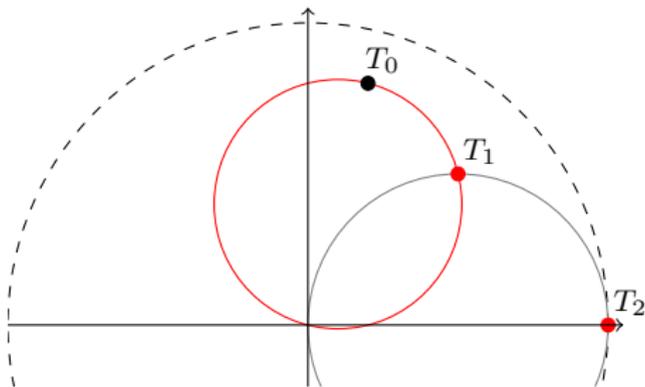


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Step 2: fix the length of the first ligament so that $T_1 \in \mathcal{C}(1/2, 1/2) \setminus \{0\}$.

Step 3: add a second ligament and tune its position as well as its length to get $T_2 = 1$ (this is doable because of the value of T_1).

Cloaking with two resonators

► Working a bit more, one can show that **two resonators** are enough to cloak any object.

$$t \mapsto \Re (u_+(x, y)e^{-ikt})$$

$$t \mapsto \Re (u_+^\varepsilon(x, y)e^{-ikt})$$

$$t \mapsto \Re (e^{ik(x-t)})$$

- 1 Asymptotic analysis in presence of thin resonators
- 2 Almost zero reflection
- 3 Cloaking
- 4 Mode converter

Mode converter - goal

- ▶ We work at higher k ($\in (\pi; 2\pi)$) so that **two modes** can propagate:

$$w_1^\pm(x, y) = e^{\pm i\beta_1 x} \varphi_1(y), \quad w_2^\pm(x, y) = e^{\pm i\beta_2 x} \varphi_2(y).$$

- ▶ Now we have the **two** scattering solutions

$$u_1^\varepsilon(x, y) = \begin{cases} w_1^+(x + 1/2, y) + \sum_{j=1}^2 r_{1j}^\varepsilon w_j^-(x + 1/2, y) + \dots & \text{on the left} \\ \sum_{j=1}^2 t_{1j}^\varepsilon w_j^+(x - 1/2, y) + \dots & \text{on the right} \end{cases}$$

$$u_2^\varepsilon(x, y) = \begin{cases} w_2^+(x + 1/2, y) + \sum_{j=1}^2 r_{2j}^\varepsilon w_j^-(x + 1/2, y) + \dots & \text{on the left} \\ \sum_{j=1}^2 t_{2j}^\varepsilon w_j^+(x - 1/2, y) + \dots & \text{on the right.} \end{cases}$$

- ▶ We define the **reflection** and **transmission** matrices

$$R^\varepsilon = \begin{pmatrix} r_{11}^\varepsilon & r_{12}^\varepsilon \\ r_{21}^\varepsilon & r_{22}^\varepsilon \end{pmatrix} \quad T^\varepsilon = \begin{pmatrix} t_{11}^\varepsilon & t_{12}^\varepsilon \\ t_{21}^\varepsilon & t_{22}^\varepsilon \end{pmatrix}.$$

Goal: find a geometry such that:

- 1) energy is **completely transmitted**
 - 2) mode 1 is **converted** into mode 2
- $$R^\varepsilon \approx \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \quad T^\varepsilon \approx \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}.$$

Mode converter - geometry

- ▶ We decide to work in the following geometry with **thin ligaments**:

$$\Re u_1^\varepsilon$$

$$\Re u_2^\varepsilon$$

- ▶ This may seem **paradoxical** because in general in this Ω , **energy is mostly backscattered**:

$$R^\varepsilon \approx \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad T^\varepsilon \approx \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \dots$$

Mode converter - exploiting symmetry

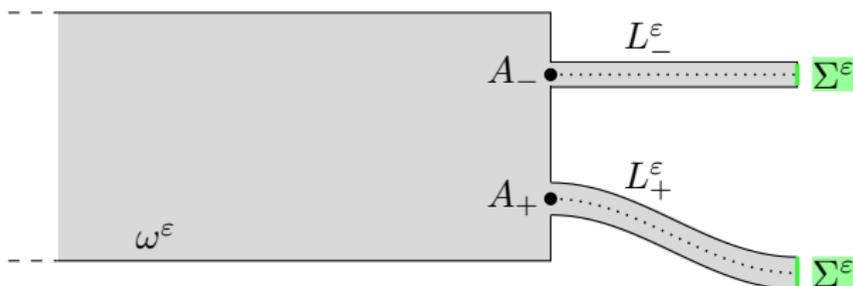
- We impose Ω to be **symmetric** wrt the (Oy) axis. Then we can show that

$$R^\varepsilon = \frac{R_N^\varepsilon + R_D^\varepsilon}{2} \quad T^\varepsilon = \frac{R_N^\varepsilon - R_D^\varepsilon}{2}$$

where $R_N^\varepsilon, R_D^\varepsilon$ are the reflection matrices of the problems

$$\left(\mathcal{P}_N^\varepsilon \right) \left| \begin{array}{l} \Delta u_N^\varepsilon + k^2 u_N^\varepsilon = 0 \text{ in } \omega^\varepsilon \\ \partial_\nu u_N^\varepsilon = 0 \text{ on } \partial\omega^\varepsilon \setminus \Sigma^\varepsilon \\ \partial_\nu u_N^\varepsilon = 0 \text{ on } \Sigma^\varepsilon \end{array} \right. \quad \left(\mathcal{P}_D^\varepsilon \right) \left| \begin{array}{l} \Delta u_D^\varepsilon + k^2 u_D^\varepsilon = 0 \text{ in } \omega^\varepsilon \\ \partial_\nu u_D^\varepsilon = 0 \text{ on } \partial\omega^\varepsilon \setminus \Sigma^\varepsilon \\ u_D^\varepsilon = 0 \text{ on } \Sigma^\varepsilon \end{array} \right.$$

set in the **half-waveguide** ω^ε (here $\Sigma^\varepsilon := \partial\omega^\varepsilon \setminus \partial\Omega^\varepsilon$):



Mode converter - asymptotic analysis

- In the asympt. analysis of $(\mathcal{P}_N^\varepsilon)$, $(\mathcal{P}_D^\varepsilon)$, we meet the 1D problems:

$$(\mathcal{P}_N^\pm) \left| \begin{array}{l} \partial_s^2 v + k^2 v = 0 \quad \text{in } (0; \ell_\pm) \\ v(0) = \partial_s v(\ell_\pm) = 0 \end{array} \right. \quad (\mathcal{P}_D^\pm) \left| \begin{array}{l} \partial_s^2 v + k^2 v = 0 \quad \text{in } (0; \ell_\pm) \\ v(0) = v(\ell_\pm) = 0 \end{array} \right.$$



We choose $k\ell_+ = m\pi$ and $k\ell_- = (m + 1/2)\pi$. In this situation:

- L_+^ε is resonant for the Neumann pb. but not for the Dirichlet one;
- L_-^ε is resonant for the Dirichlet pb. but not for the Neumann one.

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- For the Neumann pb., L_+^ε acts at order ε^0 while L_-^ε acts at higher order.
For the Dirichlet pb., L_-^ε acts at order ε^0 while L_+^ε acts at higher order.
- ⇒ The action of the two ligaments **decouple** at order ε^0 .

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► For the Dirichlet pb., L_-^ε acts at order ε^0 while L_+^ε acts at higher order.

⇒ The action of the two ligaments **decouple** at order ε^0 .

- With the **explicit representation** provided by the asymptotic analysis (as for cloaking), we can find **positions** and **lengths** of the ligaments such that

$$R_N^\varepsilon = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} + o(1) \quad R_D^\varepsilon = \begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix} + o(1) \quad \text{when } \varepsilon \rightarrow 0.$$

Mode converter - results

► Thus tuning precisely the positions and lengths of the ligaments, we can ensure **absence of reflection** and **mode conversion**:

$$t \mapsto \Re(u_1^\varepsilon e^{-i\omega t})$$

$$t \mapsto \Re(u_2^\varepsilon e^{-i\omega t})$$

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Conclusion

What we did

- ♠ We explained how to **cloak** any object in **monomode regime** and to design **mode converters** using **thin resonators**. Two main ingredients:
 - Around **resonant lengths**, effects of **order ϵ^0** with perturb. of **width ϵ** .
 - The **1D limit problems** in the resonator provide a rather **explicit** dependence wrt to the geometry.

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Possible extensions and open questions

- 1) We can similarly hide **penetrable obstacles** or work in **3D**.
- 2) We can do cloaking at a **finite number** of wavenumbers (thin structures are **resonant at one wavenumber** otherwise act at order ϵ).
- 3) With **Dirichlet BCs**, other ideas must be found.
- 4) Can we realize **exact cloaking** ($T = 1$ exactly)? This question is also related to **robustness** of the device.

Thank you for your attention!



L. Chesnel, J. Heleine and S.A. Nazarov. Design of a mode converter using thin resonant slits. *Comm. Math. Sci.*, vol. 20, 2:425-445, 2022.



L. Chesnel, J. Heleine and S.A. Nazarov. Acoustic passive cloaking using thin outer resonators. *ZAMP*, to appear, 2022.